## A Novel MIP-based Airspace Sectorization for TMAs <br> Tobias Andersson Granberg, Tatiana Polishchuk, Christiane Schmidt

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- Taskload: objective demands of the ATCO's monitoring task
- We use heat maps of the density of weighted clicks as an input [Zohrevandi et al., 2016].
- BUT: we do not depend on specific maps.

A sectorization of a simple polygon $P$ is a partition of $P$ into $k$ disjoint subpolygons $\mathrm{S}_{1}, \ldots, \mathrm{~S}_{\mathrm{k}}\left(\mathrm{S}_{\mathrm{i}} \cap \mathrm{S}_{\mathrm{j}}=\varnothing \forall \mathrm{i} \neq \mathrm{j}\right)$, such that $\cup_{i=1}^{k} S_{i}=P$.

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(f) Convex sectors ((straight-line) flight cannot enter and leave a convex sector multiple times)
(g) Interior conflict points ( Points that require increased attention from ATCOs should lie in the sector's interior.)

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Main idea: use an artificial sector, So, that encompasses the complete boundary of P, using all counterclockwise (ccw) edges.


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Main idea: use an artificial sector, So, that encompasses the complete boundary of $P$, using all counterclockwise (ccw) edges.
We use sectors in $S^{*}=S \cup S_{0}$ with $S=\left\{S_{1}, \ldots, S_{k}\right\}$.


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\begin{array}{rrr}
y_{i, j, 0}= & 1 & \forall(i, j) \in S_{0} \\
\sum_{s \in \mathcal{S}^{*}} y_{i, j, s}-\sum_{s \in \mathcal{S}^{*}} y_{j, i, s}= & 0 & \forall(i, j) \in E \\
y_{i, j, s}+y_{j, i, s} \leq & 1 & \forall(i, j) \in E, \forall s \in \mathcal{S}^{*} \\
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y_{i, j, s} \in\{0,1\} & \forall(i, j) \in E, \forall s \in \mathcal{S}^{*} \\
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\begin{array}{rl}
y_{i, j, s} & =1 \text { : edge (i,j) used for sector s } \\
y_{i, j, 0} & =\begin{array}{ll}
1 & \forall(i, j) \in S_{0}
\end{array} \quad \text { All ccw boundary edges in So } \\
\sum_{s \in \mathcal{S}^{*}} y_{i, j, s}-\sum_{s \in \mathcal{S}^{*}} y_{j, i, s} & 0 \\
\forall(i, j) \in E & \text { If }(\mathrm{i}, \mathrm{j}) \text { used for some sector, ( } \mathrm{j}, \mathrm{i}) \text { has to } \\
\text { be used as well. }
\end{array}
$$

$$
\begin{aligned}
& \sum_{l \in V:(l, i) \in E} y_{l, i, s}-\sum_{j \in V:(i, j) \in E} y_{i, j, s}=0 \quad \forall i \in V, \forall s \in \mathcal{S}^{*} \quad \text { Indegree=outdegree for all vertices } \\
& \sum_{l \in V:(l, i) \in E} y_{l, i, s} \\
& \leq 1 \quad \forall i \in V, \forall s \in \mathcal{S}^{*} \quad \text { A node has at most one ingoing edge } \\
& \text { per sector }
\end{aligned}
$$

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$$
\sum_{l \in V:(l, i) \in E} y_{l, i, s} \quad \leq 1 \forall i \in V, \forall s \in \mathcal{S}^{*} \quad \begin{gathered}
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\end{gathered}
$$

$\Rightarrow$ Union of the $|S|$ sectors completely covers the TMA.

$$
\begin{aligned}
& y_{i, j, s}=1 \text { : edge (i,j) used for sector s } \\
& y_{i, j, 0}=1 \quad \forall(i, j) \in S_{0} \quad \text { All ccw boundary edges in } S_{0} \\
& \sum_{s \in \mathcal{S}^{*}} y_{i, j, s}-\sum_{s \in \mathcal{S}^{*}} y_{j, i, s}=0 \quad \forall(i, j) \in E \quad \begin{array}{l}
\text { If }(\mathrm{i}, \mathrm{j}) \text { used for some sector, (j, i) has to } \\
\text { be used as well. }
\end{array} \\
& y_{i, j, s}+y_{j, i, s} \leq 1 \forall(i, j) \in E, \forall s \in \mathcal{S}^{*} \text { Sector cannot contain (i,j) and (j,i) } \\
& \sum_{s \in \mathcal{S}^{*}} y_{i, j, s} \leq 1 \quad \forall(i, j) \in E \quad \text { No edge in two sectors. } \\
& \sum_{(i, j) \in E} y_{i, j, s} \geq 3 \quad \forall s \in \mathcal{S}^{*} \text { Minimum size } \\
& y_{i, j, s} \in\{0,1\} \quad \forall(i, j) \in E, \forall s \in \mathcal{S}^{*}
\end{aligned}
$$

## Grid-based IP formulation

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$$
\begin{array}{rlrl}
\sum_{(i, j) \in E} f_{i, j} y_{i, j, s}-a_{s} & = & 0 & \forall s \in \mathcal{S}^{*} \\
\sum_{s \in \mathcal{S}} a_{s} & & & \\
a_{s} & \geq & a_{L B} & \\
a_{L B} & =c_{1} \cdot a_{0} /|\mathcal{S}|, \text { with, e.g., } c_{1}=0.9
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\end{aligned} \begin{aligned}
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$$
h_{i, j}=p_{i, j} \sum_{q \in \Delta(i, j, r)} h_{q}
$$

$$
\sum_{(i, j) \in E} h_{i, j} y_{i, j, s}-t_{s}=
$$

$$
\forall s \in \mathcal{S}
$$

$$
\begin{array}{rrr}
t_{s} & \geq & t_{L B} \\
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t_{L B} & = & c_{2} \cdot t_{0} /|\mathcal{S}| \\
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$$
h_{i, j}=p_{i, j} \sum_{q \in \Delta(i, j, r)} h_{q}
$$

$$
\begin{array}{rlr}
\sum_{(i, j) \in E} h_{i, j} y_{i, j, s}-t_{s} & & 0 \\
t_{s} & \geq & \\
t_{s} & \leq & t_{L B} \\
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- One reference point in each of the four colored cones: $r_{1}, \ldots, r_{4}\left(r=r_{m}\right.$, for some $m \in M=\{1,2,3,4\}$

| $q_{j, m}^{s}$ | $=$ | $\frac{1}{2}\left(\sum_{i:(i, j) \in E} p_{i, j, m} y_{i, j, s}-\sum_{l:(j, l) \in E} p_{j, l, m} y_{j, l, s}\right)$ | $\forall s \in \mathcal{S}, \forall j \in V, \forall m \in \mathcal{M}$ |
| ---: | :--- | ---: | :--- |
| $q a b s_{j, m}^{s} \geq$ | $q_{j, m}^{s}$ | $-q_{j, m}^{s}$ | $\forall s \in \mathcal{S}, \forall j \in V, \forall m \in \mathcal{M}$ |
| $q a b s_{j, m}^{s} \geq$ | 2 | $\forall s \in \mathcal{S}, \forall j \in V, \forall m \in \mathcal{M}$ |  |
| $\sum_{i \in V} \sum_{j \in V} y_{i, j, s} \cdot q a b s_{j, m}^{s}=$ | $z_{i, j, m}^{s}$ | $\forall s \in \mathcal{S}, \forall m \in \mathcal{M}$ |  |
| $0 \leq$ | $q a b s_{j, m}^{s}$ | $\forall i, j \in V \forall s \in \mathcal{S}, \forall m \in \mathcal{M}$ |  |
| $z_{i, j, m}^{s} \leq$ | $y_{i, j, s}$ | $\forall i, j \in V \forall s \in \mathcal{S}, \forall m \in \mathcal{M}$ |  |
| $z_{i, j, m}^{s} \leq$ | $\forall i, j \in V \forall s \in \mathcal{S}, \forall m \in \mathcal{M}$ |  |  |
| $z_{i, j, m}^{s} \geq y_{i, j, s}-1+q a b s_{j, m}^{s}$ | $\forall i, j \in V \forall s \in \mathcal{S}, \forall m \in \mathcal{M}$ |  |  |
| $\sum_{i \in V} \sum_{j \in V} z_{i, j, m}^{s}=$ | 2 | $\forall s \in \mathcal{S}, \forall m \in \mathcal{M}$ |  |

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- One reference point in each of the four colored cones: $r_{1}, \ldots, r_{4}\left(r=r_{m}\right.$, for some $m \in M=\{1,2,3,4\}$
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Assigns, for each sector, a value of $-1,0,1$ to each vertex.

$$
\begin{aligned}
& q_{j, m}^{s}=\quad \frac{1}{2}\left(\sum_{i:(i, j) \in E} p_{i, j, m} y_{i, j, s}-\sum_{l:(j, l) \in E} p_{j, l, m} y_{j, l, s}\right) \forall s \in \mathcal{S}, \forall j \in V, \forall m \in \mathcal{M} \\
& \begin{array}{lr}
q a b s_{j, m}^{s} \geq & q_{j, m}^{s} \\
q a b s_{j, m}^{s} \geq & -q_{j, m}^{s}
\end{array} \\
& \sum_{i \in V} \sum_{j \in V} y_{i, j, s} \cdot q a b s_{j, m}^{s}= \\
& 2 \\
& \forall s \in \mathcal{S}, \forall j \in V, \forall m \in \mathcal{M} \\
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& \sum_{i \in V} \sum_{j \in V} z_{i, j, m}^{s}= \\
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\begin{array}{rlrl}
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q a b s_{j, m}^{s} \geq & q_{j, m}^{s} & -q_{j, m}^{s} & \forall s \in \mathcal{S}, \forall j \in V, \forall m \in \mathcal{M} \\
q a b s_{j, m}^{s} \geq & 2 & \forall s \in \mathcal{S}, \forall j \in V, \forall m \in \mathcal{M} \\
\sum_{i \in V} \sum_{j \in V} y_{i, j, s} \cdot q a b s_{j, m}^{s} & & \forall s \in \mathcal{S}, \forall m \in \mathcal{M} \\
0 & \leq & z_{i, j, m}^{s} & \forall i, j \in V \forall s \in \mathcal{S}, \forall m \in \mathcal{M} \\
z_{i, j, m}^{s} \leq & q a b s_{j, m}^{s} & y_{i, j, s} & \forall i, j \in V \forall s \in \mathcal{S}, \forall m \in \mathcal{M} \\
z_{i, j, m}^{s} \leq & \forall i, j \in V \forall s \in \mathcal{S}, \forall m \in \mathcal{M} \\
z_{i, j, m}^{s} \geq y_{i, j, s}-1+q a b s_{j, m}^{s} & \forall i, j \in V \forall s \in \mathcal{S}, \forall m \in \mathcal{M} \\
\sum \sum z_{i, j, m}^{s} & 2 & \forall s \in \mathcal{S}, \forall m \in \mathcal{M}
\end{array}
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& z_{i, j, m}^{s} \\
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& z_{i, j, m}^{s} \leq \quad y_{i, j, s} \\
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& \sum_{i \in V} \sum_{j \in V} z_{i, j, m}^{s}= \\
& \forall s \in \mathcal{S}, \forall j \in V, \forall m \in \mathcal{M} \\
& \forall s \in \mathcal{S}, \forall j \in V, \forall m \in \mathcal{M} \\
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Assigns, for each sector, a value of $-1,0,1$ to each vertex.
Interior vertex of chain of cw /ccw triangles has $\mathrm{q}^{\mathrm{s}, \mathrm{m}}=0$
At j a chain with ccw (cw) triangles switches to a chain of cw ( ccw ) triangles $\mathrm{q}^{\mathrm{s} j, \mathrm{~m}=-1 \quad\left(\mathrm{q}^{\mathrm{s}} \mathrm{j}, \mathrm{m}=1\right) ~}$ For a convex sector: sum of the $\left|\mathrm{q}^{\mathrm{s}}{ }_{j, m}\right|=2$ for all reference points

| $q_{j, m}^{s}$ | $=$ | $\frac{1}{2}\left(\sum_{i:(i, j) \in E} p_{i, j, m} y_{i, j, s}-\sum_{l:(j, l) \in E} p_{j, l, m} y_{j, l, s}\right)$ |
| ---: | :--- | ---: |
| $q a b s_{j, m}^{s} \geq$ | $q_{j, m}^{s}$ | $\forall s \in \mathcal{S}, \forall j \in V, \forall m \in \mathcal{M}$ |
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| $\sum_{i \in V} \sum_{j \in V} y_{i, j, s} \cdot q a b s_{j, m}^{s}=$ | 2 | $\forall s \in \mathcal{S}, \forall j \in V, \forall m \in \mathcal{M}$ |
|  | $z_{i, j, m}^{s}$ | $\forall s \in \mathcal{S}, \forall m \in \mathcal{M}$ |
| $z_{i, j, m}^{s} \leq$ | $q a b s_{j, m}^{s}$ | $\forall i, j \in V \forall s \in \mathcal{S}, \forall m \in \mathcal{M}$ |
| $z_{i, j, m}^{s} \leq$ | $y_{i, j, s}$ | $\forall i, j \in V \forall s \in \mathcal{S}, \forall m \in \mathcal{M}$ |
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| $\sum_{i \in V} \sum_{j \in V} z_{i, j, m}^{s}=$ | 2 | $\forall i, j \in V \forall s \in \mathcal{S}, \forall m \in \mathcal{M}$ |
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## Grid-based IP formulation

- One reference point in each of the four colored cones: $r_{1}, \ldots, r_{4}\left(r=r_{m}\right.$, for some $m \in M=\{1,2,3,4\}$
- At least one of the $r_{m}$ will result in a cw/ccw switch for non-convex polygons.
- $\mathrm{p}_{\mathrm{i}, \mathrm{j}, \mathrm{m}}$ : sign of the triangle (i,j) and $\mathrm{r}_{\mathrm{m}}$

Assigns, for each sector, a value of $-1,0,1$ to each vertex.
Interior vertex of chain of cw /ccw triangles has $\mathrm{q}^{\mathrm{s}, \mathrm{m}}=0$
At j a chain with ccw (cw) triangles switches to a chain of cw ( ccw ) triangles $q^{\mathrm{s} j, m=-1 \quad\left(q^{s} \mathrm{j}, \mathrm{m}=1\right) ~}$ For a convex sector: sum of the $\left|\mathrm{q}^{\mathrm{s}}{ }_{j, m}\right|=2$ for all reference points

$$
\begin{aligned}
& q_{j, m}^{s}=\quad \frac{1}{2}\left(\sum_{i:(i, j) \in E} p_{i, j, m} y_{i, j, s}-\sum_{l:(j, l) \in E} p_{j, l, m} y_{j, l, s}\right) \forall s \in \mathcal{S}, \forall j \in V, \forall m \in \mathcal{M} \\
& \begin{array}{lrl}
q a b s_{j, m}^{s} \geq & q_{j, m}^{s} & \forall s \in \mathcal{S}, \forall j \in V, \forall m \in \mathcal{M} \\
q a b s_{j, m}^{s} \geq & -q_{j, m}^{s} & \forall s \in \mathcal{S}, \forall j \in V, \forall m \in \mathcal{M}
\end{array} \\
& \sum_{i \in V} \sum_{j \in V} y_{i, j, s} \cdot q a b s_{j, m}^{s}=\quad 2 \quad \forall s \in \mathcal{S}, \forall m \in \mathcal{M} \\
& \begin{array}{rrl}
0 \leq & z_{i, j, m}^{s} & \forall i, j \in V \forall s \in \mathcal{S}, \forall m \in \mathcal{M} \\
z_{i, j, m}^{s} \leq & q a b s_{j, m}^{s} & \forall i, j \in V \forall s \in \mathcal{S}, \forall m \in \mathcal{M} \\
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& \text { Multiplication of two variables } \rightarrow \text { define } \mathrm{zs}_{\mathrm{i}, \mathrm{j}, \mathrm{~m}}=\mathrm{y}_{\mathrm{i}, \mathrm{j}, \mathrm{~s}}{ }^{*} \mathrm{qabs} \mathrm{~s}_{\mathrm{j}, \mathrm{~m}} \text {. }
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- With constraint (g), interior conflict points:

$$
\begin{array}{r}
\min \sum_{s \in \mathcal{S}} \sum_{(i, j) \in E}\left(\gamma \ell_{i, j}+(1-\gamma) w_{i, j}\right) y_{i, j, s}, \quad 0 \leq \gamma<1 \\
w_{i, j}=h_{i}+h_{j} \\
w_{i, j}=\sum_{k \in N(i)} h_{k}+\sum_{l \in N(j)} h_{l}
\end{array}
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(c)Balanced task load, (d) Connected sectors, (e) Nice shape (we use preprocessing)

AMPL and CPLEX 12.6 on a single server with 24GB RAM and four kernels running on Linux. Each instance was run until a solution with less than $1 \%$ gap had not been found, or for a maximum of one CPU-hour. No instance finished with an optimality gap of more than $6 \%$.

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All with $\mathrm{c}_{2}=0.6$ and $\mathrm{w}_{\mathrm{i}, \mathrm{j}}=\mathrm{h}_{\mathrm{i}}+\mathrm{h}_{\mathrm{i}}$.
(a)-(f): $\gamma=0: 2,(\mathrm{~g}): \gamma=0: 8$.
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$$
\gamma=1 \quad \gamma=0.5 \text { and } w_{i, j}=h_{i}+h_{j} \quad \begin{gathered}
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